



# New York State Board of Elections Annual Report 2013



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Co-Chair

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Commissioner

Todd D. Valentine  
Co-Executive Director

State of New York  
**STATE BOARD OF ELECTIONS**

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Douglas A. Kellner  
Co-Chair

Evelyn J. Aquila  
Commissioner

Robert A. Brehm  
Co-Executive Director

To: The Honorable Andrew M. Cuomo, Governor  
Members of the New York State Legislature

We are pleased to submit to you the New York State Board of Elections' 2013 Annual Report. This report provides a comprehensive review of Board programs and accomplishments during the calendar year 2013.

The Board's mission consists of the oversight of each county board of elections and the Board of Elections in the City of New York, as well as statewide compliance with the Help America Vote Act, the National Voter Registration Act, the Uniformed and Overseas Citizens Absentee Voting Act and the Military & Overseas Voter Empowerment Act. The Board, among other things, administers several critical programs, including the statewide voter registration list (NYSVoter), all agency-based registration, and the voting system certification program. In addition to ensuring fair and broad ballot access for hundreds of candidates from throughout the State, the Board is committed to the active investigation of election law violations, and oversight and enforcement of campaign financial disclosure filing requirements.

2013 saw fewer elections for the State Board of Elections. Three vacancies were filled at the General Election in the 2<sup>nd</sup>, 53<sup>rd</sup> and 86<sup>th</sup> Assembly districts. There were races in 11 Judicial districts and for the first time since 1983, there were six constitutional amendments on the ballot.

At the end of year, after more than 24 distinguished years on the Board, Commissioner Evelyn J. Aquila retired. Her career spanned 3 decades and her guidance and insight will be missed.

The New York State Board of Elections has worked diligently to embrace each of the new programs it has implemented and is recognized on the national level as a leader in enhancing the participation of eligible voters to the elective franchise. We remain steadfast in our commitment to providing open, accessible and accurate elections.

Respectfully submitted,

Douglas A. Kellner  
Co-Chair

James A. Walsh  
Co-Chair

Evelyn J. Aquila  
Commissioner

Gregory P. Peterson  
Commissioner



Front Row: L to R, Commissioner Douglas A. Kellner, Commissioner Evelyn J. Aquila, Commissioner James A. Walsh, Commissioner Gregory P. Peterson.  
Back Row: L to R, Co-Executive Director Robert A. Brehm, Co-Executive Director Todd D. Valentine.

## Mission Statement



The New York State Board of Elections (NYSBOE) was established in the Executive Department, June 1, 1974 as a bipartisan agency vested with the responsibility for administration and enforcement of all laws relating to elections in New York State. The Board is also responsible for regulating disclosure and limitations of a Fair Campaign Code intended to govern campaign practices. In conducting these wide-ranging responsibilities, the Board offers assistance to local election boards and investigates complaints of possible statutory violations. In addition to the regulatory and enforcement responsibilities, the Board is charged with the preservation of citizen confidence in the democratic process and enhancing voter participation in elections.

## PERSONNEL DIRECTORY

### Commissioners

Douglas A. Kellner  
*Co-Chair*

James A. Walsh  
*Co-Chair*

Evelyn J. Aquila  
*Commissioner*

Gregory P. Peterson  
*Commissioner*

\*\*\*\*\*

Robert A. Brehm  
*Co-Executive Director*

Donna Mullahey  
*Secretary*

Todd D. Valentine  
*Co-Executive Director*

Maryellen Reda  
*Secretary*

### Office of the Special Counsel

Kimberly A. Galvin, *Special Counsel*

Paul Collins, *First Deputy Counsel*

### Office of the Enforcement Counsel

Vacant, *Enforcement Counsel*

William J. McCann, Jr., *Special Deputy Counsel*

### Campaign Financial Disclosure

Patricia M. Lloyd, *Associate Accountant*

Josephine T. Jackson, *Senior Accountant*

### Public Information

John W. Conklin, *Dir. of Public Information*

Thomas E. Connolly, *Dep. Dir. of Pub Information*

### Agency-Based Voter Registration

Gregory Fiozzo, *Coordinator of NVRA Operations*

Patrick Campion, *Coordinator of Special Projects*

### Election Operations & Services

Anna E. Svizzero, *Director of Election Operations*

Joseph T. Burns, *Dep. Dir. of Election Operations*

### Information Technology

David Loomis, *Dir. of Data Processing Services*

Daniel E. Valvo, *Mgr. of Data Processing Services*

### Administrative Office

Thomas Jarose, *Assoc. Personnel Administrator*

Colleen Koch, *Administrative Assistant*

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# COUNSEL'S OFFICE

## Legal Opinions

The Office of Special Counsel is responsible for preparing responses to requests for formal opinions from the New York State Board of Elections (NYSBOE). These formal opinions serve to further clarify certain sections of the Election Law. The Board issued one formal opinion for 2013, and also provided numerous informal opinions throughout the course of the year.

You may obtain copies of individual opinions or a complete set of opinions by visiting the Board's website ([www.elections.ny.gov](http://www.elections.ny.gov)).



## Legislative Activities

Counsel's Office, in consultation with the executive staff, regularly monitors all legislative action which could impact the Board and the election process in New York. Such activities include attending legislative committee meetings, responding to inquiries regarding legislation, and responding to requests for comments on legislation. In addition, Counsel's Office is responsible for drafting all legislative proposals of the Board. In addition to any New York State legislative initiatives, the office has worked extensively with other members of the staff in reviewing any federal legislative proposals that may have an effect on elections in New York.

The following is a brief summary of significant legislation that was enacted in 2013:

Chapter Number	Brief Summary
99	Relates to run-off elections in the city of New York
334	Relates to election canvass procedures.

## Election Law Inquiries



The Office of Special Counsel handles general questions on elections, excluding most enforcement matters. Local boards of elections, town clerks, elected officials, candidates, political committees, and involved citizens all rely on the expertise of the board's attorneys in interpreting the law. It is estimated that the Office of Special Counsel received and responded to several hundred inquiries regarding administration and interpretation of the Election Law.

## Litigation

The Office of Special Counsel represented the Board in approximately 11 new court actions in 2013. As 2013 was a non-petition filing year at the State Board, there were only a few ballot access cases emanating from the counties in which the State Board was tangentially involved.

The following is a brief summary of the remaining significant litigation activity in 2011:



## LITIGATION SUMMARY

### United States v. New York State

In 2006, the United States Department of Justice (USDOJ) brought suit against the State of New York and NYSBOE to enforce provisions of the Help America Vote Act (HAVA). NYSBOE and USDOJ established a consent decree with the Court setting forth a plan which would bring the State into compliance as soon as possible. At a minimum, the Counsel's Office participated in weekly monitoring calls with USDOJ. This monitoring continues as it relates to the full use of the NYSVoter System by NYC. NYCBOE has implemented technical changes to allow full use and has started the process of full list maintenance. The USDOJ has agreed to discuss the termination of this reporting requirement and close the case.

### Conservative Party, et.al. v. James A. Walsh, et.al. and NAACP et.al. v. NYSBOE

Although separate federal litigations, these cases involve similar issues. In these actions the plaintiffs are alleging that the various procedures and warning messages involving how both over-votes and double-votes are handled by the new HAVA compliant voting systems are legally insufficient and deprive the voters and minor political parties of various rights. In both cases settlement decrees were entered into in. Agreed upon screen changes have now been provided to the counties and will be in use for all future elections. The screen changes were fully implemented and this matter is now closed.

### National Organization for Marriage v. NYSBOE

In 2011 National Organization for Marriage (NOM) appealed to the United States Court of Appeals for the Second Circuit from an Order of the United States District Court for the Western District of New York dismissing NOM's Complaint, finding the action did not present a justiciable controversy in that NOM lacked standing to assert its Constitutional claims and the action was not ripe for adjudication. The Appellate argument was held on August 24, and a recent decision denied the motion to dismiss and found that the controversy was justiciable. It has been remanded for further action.

## New York Progress and Protection PAC v. NYSBOE et al.

This case involved a challenge to contribution limits flowing in to Independent Expenditure Committees. The Court issued a preliminary decision declaring that the provisions of N.Y. Election Law §14-114(8) are unconstitutional as applied to NYPPP and its donors and enjoined the Board from enforcing those limits against the same. Based upon this ruling the Board determined that it will not enforce the 14-114(8) \$150,000 aggregate individual limit as it relates to independent expenditure only committees.

The Board and all parties are all still awaiting the final decision with rationale to be issued from the Court. Some notice of appeals are pending awaiting that final decision.

## UNITED STATES v. NYS and the NYSBOE

The USDOJ brought suit against the State of New York and the New York State Board of Elections for its failure to comply with the ballot transmittal requirements set forth in the federal legislation known as the MOVE Act.

Simply put, many counties throughout New York State failed to transmit ballots to their Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA) voters 45 days before the 2010 general election. The consent decree ultimately entered in to by the parties' required extensive and ongoing reporting requirements regarding all UOCAVA voters. In addition, the consent decree requires the defendants in the action to make periodic reports to USDOJ and the Court as to the state's ongoing compliance with the requirements of the MOVE Act. The NYSBOE continues to make these reports.

# Voter Registration Unit

## Agency-Based Voter Registration

Since 1995, the New York State Board of Elections has been assisting and guiding participating state agencies in understanding and executing their voter registration responsibilities mandated by the National Voter Registration Act of 1993 (NVRA) and its corresponding state laws. The intent of the program is to offer individuals the opportunity to register to vote, when they apply for or renew a driver's license, or when they apply for services at any of the approximately 800 offices that participate in the program.



Agencies designated to provide voter registration include the Department of Motor Vehicles, as well as public assistance, disability, and other state-designated agencies. Designated as state agencies which provide public assistance are the Office of Temporary and Disability Assistance and the Department of Health. Designated as state agencies that provide programs primarily engaged in providing services to people with disabilities are the Department of Labor, Office for the Aging, Division of Veterans' Affairs, Office of Mental Health, Office of Vocational and Educational Services for Individuals with Disabilities, Commission on Quality of Care and Advocacy for Persons with Disabilities, Office for People with Developmental Disabilities, Commission for the Blind and Visually Handicapped, Office of Alcoholism and Substance Abuse Services, State University of New York Disability Offices, City University of New York Disability Offices, and certain offices which administer

programs established or funded by such agencies. Additional state agencies designated as voter registration sites are the Department of State and the Division of Workers' Compensation.

## Registration Statistics

During 2013, there were 276,807 voter registration applications or transactions received by county boards of elections which resulted from the efforts of state agencies. The Department of Motor Vehicles yielded the highest volume of registration applications among the various agencies mandated by the NVRA, accounting for 73% (203,365) of the total number of voter registration applications or transactions in the state. The remaining agencies/programs participating in the program accounted for 27% (73,319). Voter registration applications and transactions incorrectly sent to the state board by mail and forwarded to the county boards of elections accounted for 123 of the above total.

## Sources of Voter Registration

Motor Vehicles	203,365
Public Assistance Agencies	66,005
Disability Agencies	6,475
State Designated Agencies	839
By Mail	123
<b>Total</b>	<b>276,807</b>

## Agency-Based Voter Registration Statistics

<u>Year</u>	<u>New Registrations</u>	<u>Address Changes</u>	<u>Enrollment Statistics</u>	<u>Name Changes</u>
2010	162,270	96,017	29,083	10,667
2011	170,447	84,282	33,898	11,769
2012	201,401	87,057	33,479	11,089
2013	135,773	56,912	19,312	8,618

## Training

The State Board of Elections is responsible for the development of training materials and presentation of training programs on the requirements and implementation of the agency-based voter registration program. Regional agency-based voter registration training offerings were presented to the participating NVRA sites in New York State. State Board staff continues to provide updated training and reference materials as well as on-going telephone guidance and support to agency program liaisons, site personnel in all offices offering agency-based voter registration, as well as to county boards of election.

## Agency Oversight

The success of the agency-based registration program relies on cooperation among the participating state agencies, county boards of elections, and the New York State Board of Elections. Due to the numerous and unique differences in clientele and services provided by each of the agencies, the administrative policies at each agency's participating offices and programs are conducted at the discretion of each individual state agency, under the guidance, input, approval and support of the State Board of Elections. Also, staff responds to all inquiries, and acts to assist agency program coordinators, site personnel, and county board staff in resolving administrative and procedural issues in an effort to ensure effective and efficient operation of the agency-based registration program in New York State.

In addition, statistical reports containing data on voter registration activity for all agency-based sites are mailed to agency commissioners and program coordinators each month. Review of these reports enables program coordinators to monitor voter registration activity and program compliance, as well as identify inconsistencies at each participating office. This information also assists the State Board of Elections in evaluating the workload placed on county boards of elections offices by NVRA program requirements.

## Distribution of NVRA Program Supplies

Supplies for the agency-based registration program are shipped weekly by NVRA staff as requested by participating sites. Constant tracking of supply order and shipping dates is made possible by a supply order and inventory system specifically developed for monitoring distribution of NVRA program materials. The system also provides staff with current inventory balances to ensure that supplies, including

forms in multiple languages as required by the Voting Rights Act, are reordered as needed. The State Board also distributed voter registration forms to institutions of the State University of New York. The New York State Board of Elections processed 656 individual supply shipments to participating NVRA sites during 2013.

In addition, the State Board of Elections provides “read only” copies of the NYS voter registration form in both Braille and large print formats. Copies of both versions as well as a poster-sized version of the agency-based voter registration form are provided to agencies and programs participating in the NVRA program that serve people with disabilities upon request.

### Voter Registration Cancellations

When New York State residents relocate to another state or when out-of-state residents move into New York State and subsequently register to vote, a notice of registration cancellation is returned either to a county board of elections or the New York State Board of Elections so that voter registration rolls may be updated. In 2013, staff at the State Board of Elections processed 50,238 New York State and 21,670 out-of-state registration cancellations and forwarded them to the appropriate county board of elections or state election official.

### DMV Address Change Requests

The New York State Board of Elections assists the Department of Motor Vehicles with the distribution of customer address change requests resulting from licensing or driver I.D. transactions by counting, sorting and forwarding them monthly to county boards of elections. Also received from the Department of Motor Vehicles and processed by state board staff, are the DMV internet change of address request forms which have been downloaded by customers, completed, and forwarded to DMV. The state board distributed 22,812 address change requests received from the Department of Motor Vehicles to county boards during 2013.

### County HAVA Funds Program

The Help America Vote Act (HAVA) has provided funds to the State of New York for poll worker training, voter education, and poll site accessibility. Since June 2006, the State Board has been overseeing the grant application process, as well as the disbursement of federal and state funds, to further the HAVA and State program objectives. The Poll Site Access Improvement Program provides funds to county boards of elections to assist them in ensuring that all New York polling places are

accessible and provide the same opportunity for all voters to participate in the election process. The Voter Education and Poll Worker Training Program provides funds to county boards of elections to implement programs to educate poll workers and the general public on the proper use of new voting systems.

### **Poll Site Access Program**

The New York State Board of Elections has received funding from State appropriations and from the Department of Health and Human Services to establish, expand, and improve access to and participation by individuals with a full range of disabilities in the elections process. The polling place access improvement funds will assist county boards in undertaking minor temporary improvement or renovation projects, and the purchase of proper signage, materials, and low-tech devices to help assist persons with disabilities on election days and to assure voter privacy and independence. The funds may be used to make polling places, including parking, the path of travel, door hardware, entrances, exits, and voting areas of each polling facility, accessible to individuals with the full range of disabilities (e.g. impairments involving vision, hearing, mobility, dexterity, emotional, or intellectual) through the use of varied accessibility tools (e.g. ramps, handrails, and signage).

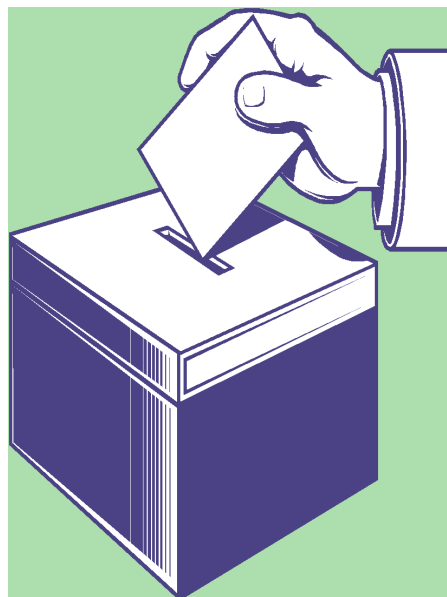
### **Poll Worker Training and Voter Education Program**

The New York State Board of Elections has received HAVA funds to be dispersed and used by county boards for the specific and limited purpose of advancing Voter Education and Poll Worker Training. County Boards have implemented programs to educate individuals on the proper use of new voting systems, including ballot marking devices. These efforts are intended to help bolster public confidence in the election process by providing information to election administrators on methods for keeping the process secure while ensuring that every eligible voter can cast a vote and have that vote counted. Training and education must extend to all voters, including those with a full range of disabilities, as well as those with language barriers.



## NYSVoter County Reviews

In 2007, the State Board of Elections implemented NYSVoter, a statewide voter registration database in order to comply with the Help America Vote Act (HAVA) and subsequent amendments to the New York State Election Law. NYSVoter was built by integrating a centralized database system with the county voter registration/ election management systems (VR/EMS), giving the State Board administrative control over the centralized database and the responsibility for auditing the system to assure that the local election officials are conducting the business of voter registration in a compliant manner. State Board personnel visit the county boards to perform periodic reviews of their NYSVoter procedures, and in 2013, 35 counties were reviewed and found to be in substantial compliance with state regulations.





## ELECTION OPERATIONS UNIT

The major responsibilities of the Election Operations Unit of the New York State Board of Elections include the oversight and support of New York State's 62 county boards of elections, the facilitation of ballot access efforts by candidates for a variety of public offices and party positions, and oversight and technical assistance of the statewide deployment of voting systems. The Election Operations Unit actively engages in ongoing daily communications with county Boards of Elections and the general public on a multitude of topics.

### Candidate Ballot Access

In the summer of 2013, ballot access activity was focused on petition filing for the election of delegates and alternate delegates to Judicial District Conventions. Delegates nominate candidates for the office of Justice of the Supreme Court from 13 judicial districts in the State at fall conventions.

Ballot access activity for the judicial delegates and alternates described above, data entry, and the creation and dissemination of corresponding acknowledgements, consisted of the following:

- 106 petitions for Judicial Delegate and Alternate Delegate positions



Some filings related to the party position candidacies previously described are made at the local level, as some of the districts in which those persons run are wholly contained within county boundaries. In these cases, information concerning candidate filings which are made at the county level must be shared with NYSBOE. These local certifications are forwarded to the Board, and become part of the statewide certifications to party committees and others who have need of or interest in this information.

Ballot access filings are not validated by NYSBOE. They are presumptively valid when filed, however the validity of a filing may be challenged by persons choosing to do so. Challenges require the filing of an initial objection within three days of the filing, followed by specific objections within six days. If specific objections are filed – the itemization of objections – staff reviews each specific itemized objection, notes their findings, and submits a reporting form to a hearing officer for review.

Determinations are then made by the Commissioners of the State Board of Elections, and a notice of those determinations is made to the objector as well as the candidate. In 2013, objections to petitions and corresponding specifications received, posted, and researched, were as follows:

- 3 objections filed
- 3 sets of specifications filed

Vacancies existed in 11 of the State’s 13 Judicial Districts. Delegates and Alternate Delegates were notified by their respective parties to attend conventions, which were convened on various dates beginning on September 17 and running through September 23. From each of the 11 Judicial Districts that had vacancies, a total of 29 nominations were received, posted, and acknowledged, representing the nomination of candidates for office of Justice of the Supreme Court.

The Governor did not declare any Special Elections in 2013, however at the November 5, 2013 General Election contests appeared on ballots for three assembly seats that were vacated by:

2 <sup>nd</sup> Assembly District	Daniel P. Losquardo	resigned March 12, 2013
53 <sup>rd</sup> Assembly District	Vito J. Lopez	resigned May 20, 2013
86 <sup>th</sup> Assembly District	Nelson L. Castro	resigned April 8, 2013



## Public Election Services

Additional services provided by Unit staff include responding to inquiries for data and/or information from the public, and the distribution of related documentation. A multitude of general information is also disseminated, including election results (current and previous years), political calendars, candidate lists, the State Board's "Running for Office" booklet, voter registration forms, Election Law books, and other information and data relating to elections and the election process. Further, the Unit also has responsibility for managing the NYSBOE'S toll-free voter registration application request number (1-800-FOR-VOTE), as well as fulfilling requests submitted via the agency website. In 2013, in response to these requests for voter registration applications, Unit staff transcribed 7,890 requests and 16,515 voter registration forms (English & Spanish combined) were sent out.

## The SHOEBOX Program

The New York State Board of Elections has received federal dollars to fund compliance with and implement the 2002 Help America Vote Act (HAVA).

As New York's HAVA distribution program does not provide for the direct release of federal funds to counties, in the overall scope of compliance with HAVA, a

separate program needed to be created to enable the reimbursement of county funds that were expended in the name of either implementing HAVA, or furthering the goals and objectives of HAVA. For the purchase of privacy booths, and consistent with the EAC's formal opinion that in the realm of optical scan voting systems, privacy booths are integral components of the system itself, the New York State Board of Elections created a Privacy Booth Reimbursement Program, however the purchase of other products and services related to the overall HAVA project which were not part of the vendor contracts themselves, needed to be accommodated, thus this additional program was created and came to be known as the SHOEBOX Program.

County boards of elections may make application, after the purchase of such products and services with county funds, for reimbursement of either some or all of those costs, provided that the purchases were reasonable, allowable, and allocable. Substantial evidence must be included with each application, and prior to the award of any reimbursement, all applications are reviewed for the products' and/or services' compliance with the EAC's guidelines and formal opinions for allowable expenses. Reimbursement will be made for 100% of the allowable costs submitted not to exceed the unspent balance of funds allocated to each county.

To receive reimbursement, county boards of elections must have contracts in place, and complete and submit an application packet to the Election Operations Unit. In 2013, twenty-nine counties submitted 106 vouchers for SHOEBOX fund reimbursement, amounting in total to \$1,876,754.00.

### Statewide County Boards of Elections Operational Support

In addition to election assistance, support to county Boards of Elections in the area of daily operations remains a key focus of the Unit.

County Boards of Elections are provided with oversight and support in innumerable ways, including phone calls, conference calls, e-mails, customized workshops and site visits tailored to individual counties, informative conference presentations, participation in and appearances at Election Commissioners Association regional meetings, topical memorandums, and the provision of extensive procedural documents and forms for implementation at the local level. As new regulations emerge or previous topics become heightened areas of interest, the Unit

strives to communicate timely and appropriate guidance to county Board of Elections personnel.

The State Board of Elections also provides National Change of Address (NCOA) information to all of New York State's county boards of elections. NCOA services are a required component of New York State's statutory voter registration list maintenance procedures, and help to ensure that voter addresses are synchronized with information on file with the U.S. Postal Service. This process is further enhanced as data is processed via the statewide database. In 2013, data concerning over 712,108 such changes were provided to county boards of elections for their use in updating registration records, generating voter notifications, and other routine maintenance tasks to reflect voter's change of address information.

### Voting System Certification and Support Activities

The rapid pace and high volume of activity relative to supporting and guiding county boards of elections in the use of optical scanning devices continued into 2013. Due to the complexity and technical nature of these systems, the provision of ongoing support is essential throughout the year. Key initiatives and services included, but were not limited to, the following:

- Help Desk technical support was provided before, during, and after the Primary and General Elections, with dedicated staff assigned to assist county board of elections personnel in building ballots, running test decks, conducting 3% audits, defining ballot layout, and related tasks.
- In the first quarter of 2013 staff worked with counties by answering questions and providing on-site support for upgrading their EMS and voting equipment from the December certification.
- Software upgrade for Election Systems and Software (ES&S) voting equipment used by some county boards of elections was a focus in early 2013. A Federal change required the ES&S system to provide the ability to create the Bengali language as an option on the ballot as well as the screens.
- Election Operations staff continued refining the test deck process, while ensuring that it remains thorough and robust. Feedback from county boards of

elections, as well as input received from security vendor NYSTEC, supported the goal of sculpting the overall test deck process.

- EAC grant-funded research relative to pre-election testing and post-election auditing was completed in 2013. All reports and training material were submitted to the EAC as part of the grant requirements, and were on the State Board's website.
- Staff continues to collect and review ballots generated by county boards of elections in order to assess the potential for improved usability for voters. Areas of focus were font size, layout, placement of instructions, and overall ballot design.
- Election Operations staff continue to visit county boards of elections to conduct voting machine audits and election monitoring activities. Election monitoring includes gathering and reviewing procedures and processes at the local level to ensure that county boards are creating and executing test desks as prescribed by NYSBOE; look for aberrations in the functioning of voting equipment; and, review operational procedures used by county boards, to ensure consistent delivery of all board of elections services.
- Staff kicked-off the asset management project and have been busy working with the vendor (AssetWorks) to configure a system designed to meet NYS needs. Three pilots took place in late 2013, and roll-out of the system is anticipated in early 2014.
- Unit staff attended and made presentations on topical issues at annual Election Commissioners' Association conferences.
- The Unit conducted on-site and WebEx training sessions related to the use of certified central count voting systems now available from ES&S and Dominion Voting Systems.
- Staff reviewed written proposals, as well as hosted on-site presentations for the selection of a new testing lab for voting equipment. When the process was completed, staff recommended the selection of SLI Global Solutions, the State Board's current provider.

- Staff worked with the Information Technology Unit to build a statewide election night reporting data base, to provide results on the web. This effort included training of county boards of elections, along with assisting boards before and on election night in the new reporting method.



### Additional Unit Activities

In addition to ongoing operational and technical support to county boards of elections, public election services, ballot access assistance, and voting system certification and technical support, Election Operations Unit personnel assist other Units in the agency through active participation in workgroups and projects, support of other Agency missions affected by staffing shortages, and contributing to the various priorities identified by the Board.

## INFORMATION TECHNOLOGY UNIT

All of the program units in the Agency rely heavily on technology to perform the Board's collective mission. This is especially true with the changes in election management within the State of New York and nationally. The Information Technology Unit (ITU) is tasked with providing the most efficient and cost effective technology solutions to assist program unit staff to perform their responsibilities.

Among the ITU responsibilities are the procurement, operations and maintenance of all computing systems for the State Board of Elections. ITU staff performs or manages development, implementation, maintenance and support of all election information systems for the Agency. Information Technology Unit staff is responsible for data storage, data processing, security of networks and data as well as accessibility to election-related data. ITU keeps the Board current with emerging technology and makes recommendations to the Co-Executive Directors regarding technology procurement.

Additionally, the Agency's Chief Information Officer represents the Board of Elections at the Office of Information Technology Services' General Government Cluster.

### Computing Environment and Infrastructure

The New York State Board of Elections operates two network environments: an internal agency network and the "NYSVoter" network that allows for communication between the Board and local county systems. The Information Technology Unit is responsible for the design, installation, configuration and maintenance of the networks. This responsibility includes servers, switches, wiring plant, workstations and all of the ancillary devices required for operating a stable, secure network. Information Technology staff is also responsible for the design and maintenance of the Agency database, Intranet and Internet infrastructures and applications. ITU staff assures that backup, disaster recovery and network security are performed with attention to best industry standards and practices. The main ITU data center was moved in 2012 to a location hosted by the NYS Office of Information Technology Services.

Information Technology management is responsible for developing an IT



budget. IT Management is also responsible for obtaining purchase approval for all technology purchases by submitting justified “Plan to Purchase” documentation and submitting requisitions for purchase to the finance/ procurement officer.

ITU staff develops, maintains and supports the major applications and systems, described below, which are used at the State Board of Elections.

### Financial Disclosure Administration System (FIDAS).

The Financial Disclosure System is a relational database, network-based system used by auditing and enforcement staff for the management of the financial disclosure reports for committees and candidates for statewide office. The Information Technology Unit develops and maintains the databases and applications associated with the administration of campaign finances. The Agency’s Electronic Filing Software, which is used by candidates and political committees for filing their reports, was developed by and is maintained by the Agency’s IT staff. In 2013, the ITU worked with executive staff to prepare a proposal to re-engineer FIDAS as part of the CAPAS/FIDAS Redesign project.

ITU is responsible for receiving and processing electronic filings from approximately 15,000 filers and loading them into the Campaign Financial Disclosure Database. 2012 was a federal and state election year so there were eight major filing periods. A small, but efficient help desk staff performs this work in addition to delivering telephone support to the financial report filers, County Boards of Elections and agency staff.

### National Change of Address Processing (NCOA)

NCOA processing was coordinated by the State Board as required by the National Voter Registration Act. A file with all the names and addresses is produced and forwarded electronically to an NCOA vendor for matching against the U.S. Post Office’s Change-of-Address database. The file resulting from the processing is retrieved electronically by the State Board where it is parsed and redistributed to the individual counties of origin. The NCOA processing for 2013 included nearly 12 million voter records from sixty-two counties. Centralizing this NCOA processing through the State Board, as opposed to the processing by individual counties, provides the counties with a substantial savings in revenue due to the economy of scale that the State Board is able to leverage.

## Election Operation Support

The Information Technology Unit provides support to the Election Operations Unit in the form of the Candidate Petition Administration System (CAPAS), which is used to administer the candidate petition process, as well as, create correspondence, ballots and reports pertaining to elections. In 2013, ITU proposed a reengineering of CAPAS as part of the CAPAS/FIDAS Redesign project.

## Agency-Based Voter Registration/Public Information

The Information Technology Unit supports the database applications used by the Voter Registration Unit to manage the registration sites and transactions. There is also a supplies inventory system created and maintained by the Agency's IT staff.

The Public Information Officer has oversight of the content on the Agency's web site. The Agency has adopted a policy of making as much information as possible available electronically thus cutting the cost of printing and reproduction. The Information Technology staff works closely with the Public Information Office to oversee the technology, design and application development associated with the Agency's web site.

## NYSVoter Statewide Voter Registration Database

As part of the Federal Help America Vote Act (HAVA), legislation that was passed in 2002, as well as New York State Election Law changes, the State Board of Elections was required to create a statewide voter registration database. The Statewide Voter Registration Database, known as NYSVoter, was developed and implemented in 2007. Since then the system has become mature and stable.

NYSVoter is a complex architecture of over 60 servers and multiple networks including a Wide Area Network consisting of Virtual Private Networks that requires a great deal of monitoring and maintenance activity on a daily basis. The NYSVoter staff not only maintains the production system that resides at the data center, but also a remote disaster recovery site. In 2013, ITU staff prepared a proposal to refresh the NYSVoter environment. This project will begin in 2014.

The Information Technology Unit worked with the Federal Voting Assistance Program to implement the Military & Overseas Voter Empowerment Act (MOVE) to assist military voters and voters who live overseas to vote absentee ballots. The MOVE system was integrated with NYSVoter and the county voter registration systems for the 2012 election and continues to operate for the benefit of MOVE voters.



## ELECTION LAW ENFORCEMENT

NYSBOE's Enforcement Counsel supervises the Enforcement, Investigation and Campaign Finance Units.

The Enforcement Unit consists of six staff: Enforcement Counsel, Deputy Enforcement Counsel, Confidential Secretary, Confidential Aide, and two Enforcement Specialists.

The Unit enforces violations of the Election Law. Whenever a complaint alleging a violation is made, it is reviewed to ascertain that the conduct complained of would, if true, be a violation of that law, and that there is evidence to support it. Counsel examines the nature and scope of the alleged conduct, and recommends an appropriate disposition of the complaint to the Board's Commissioners. In addition to general complaints of Election Law violations, Enforcement Counsel also has responsibility for any complaint of a violation of Title III of the Help America Vote Act of 2002, in accordance with the specific processes statutorily imposed.

In 2013, the Board opened 87 complaints alleging violations of the Election Law, and processed 33 complaints to a Determination. Furthermore, an additional matter was referred to a local District Attorney.

Additionally, Enforcement initiates civil proceedings relative to campaign financial reporting mandates. If a required campaign financial disclosure report is not filed, the committee treasurer and/or the candidate is notified by Certified and First Class mail of the failure to file. If the filing is not received by the Board within five days of receipt of that letter, the Board initiates a civil action requesting the Court to order the filing be made, as well as to assess a monetary penalty and court costs. In 2013, the Enforcement Unit concluded 3 lawsuits and obtained 484 Judgments against candidates and treasurers for failure to file campaign financial disclosure reports. By year's end, 201 Judgments were satisfied.

## CAMPAIGN FINANCE UNIT

The Campaign Finance Unit (CFU) is headed by the Enforcement Counsel who, with the Deputy Enforcement Counsel, supervises a campaign finance staff of 11 who are assigned to the three sub-units within Campaign Finance: Intake and Processing; Education Outreach and Training; and Audit and Review.

CFU/Intake and Processing sub unit consists of 5 staff in 2013: an Associate Accountant; a Senior Accountant; a Calculations Clerk II; and 2 Campaign Finance Analysts. This staff is primarily responsible for registrations and terminations of committees, receiving and processing campaign financial disclosure reports, and for operating the call center, where inquiries about the Election Law and filing mandates are handled. In 2013, January and July Periodic financial disclosure reports were required of all registered committees. Three special elections were held in 2013. At the end of 2013, there were 14,061 active filers with the Board. A total of 33,083 financial disclosure statements were received by the Board in 2013, consisting of 9,358 from state filers, and 23,725 from county filers who were mandated to register and file with the State Board. There were 32,506 electronic submissions, 66 by diskette, and 511 paper filings. All filings are available for public viewing on the Board’s website. If a mandated filing is not made, the candidate and/or committee treasurer is notified by Certified and First Class mail of the failure to file. In 2013, the CFU sent 10,019 such letters.

The number of active filers with the Board continues to increase, as is indicated below:

	2008	2009	2010	2011	2012	2013
State Filers	2,152	2,014	2,549	2,121	2,695	2,244
County Filers	6,989	8,936	8,458	10,198	9,900	11,817
<b>TOTAL</b>	<b>9,141</b>	<b>10,950</b>	<b>11,007</b>	<b>12,319</b>	<b>12,595</b>	<b>14,061</b>

Filers include both committees, and candidates without a committee who are making their own filings. In 2013, 3,368 new committees registered with the Board. With each new registration, the CFU sent a confirmation to the treasurer, providing the committee identification number, a personal identification number that acts as an electronic signature when making filings, and other information relating to filing

requirements and obligations. There were 1,869 committee/candidate terminations processed in 2013.

Other duties of this subunit which they accomplished in 2013 include:

- Creation and publication of the campaign financial disclosure filing calendar.
- Calculation of the contribution limits as set forth in Election Law Article 14.
- Providing the public, as well as all filers with the State Board or County Boards of Elections, with information regarding campaign finance. In 2013, the staff responded to 14,549 telephone inquiries through the call center, filled 214 requests for campaign finance forms and materials, sent 2,001 letters to filers informing them of some error in their attempt to submit a financial disclosure report, and sent another 1,219 follow up letters. Staff also assisted people who visited our public view area.



The CFU/ Education Outreach and Training sub-unit is staffed by 3 people. The primary area of responsibility is the preparation and dissemination of information and materials relative to the financial disclosure mandates of Article 14 of the New York State Election Law. In 2013, this sub-unit accomplished the annual revision of the Campaign Finance Handbook; revised campaign finance forms, and introduced campaign finance forms that can be filled out electronically at the Board’s website before they are printed, executed, and submitted to the Board; and created the annual Filer Update for distribution to all filers. Additionally, staff conducted 32 training seminars for filers throughout the State to provide information as to the requirements of campaign financial disclosure and applicable Election Law provisions. Of these, 5 seminars were offered as Continuing Legal Education (CLE) credits for attorneys. These 5 were held in New York City, Erie, Monroe, Nassau, and Albany counties. In addition, EOT initiated “Winding Down the Campaign” training for post-election filers requesting resignation or termination. This series began with a seminar in Westchester and will continue to be offered. In June 2013, an informational presentation for some 200 county commissioners and staff members was delivered at the annual conference of Election Commissioners’ Association of the State of New

York held in Lake Placid. Staff continues to offer a “train the trainer” program for county boards of elections so that the staffs at the boards can better assist filers. In February of 2013, Version 5.1 of the Electronic Filing System Software for filing campaign financial disclosure reports was unveiled. The new software was developed entirely in-house by Campaign Finance and Information Technology units with no external budgetary expenditures. It offers a number of advantages over the previous version, Version 4.0, which was developed more than a decade ago.

The CFU/Audit and Review sub unit in 2013 reviewed filings for compliance with statutory mandates. As well, they reviewed multiple data fields in reports and intra agency software. This enabled implementation of improvements for efficient administration of processes and procedures relative to campaign finance and enforcement. Furthermore, they assist the Intake and Processing subunit on terminations and resignations, and related reviews. Three people staff this subunit.

# PUBLIC INFORMATION OFFICE

## Media Relations

The Public Information Officer serves as the board's spokesperson and is responsible for handling all press inquiries. In 2013, the Public Information Office received over 6,000 requests from reporters, interested parties and the general public seeking information on election results, voter registration data, campaign finance filings, enforcement matters, Election Law, implementation of the Help America Vote Act, the National Voter Registration Act, absentee voting, the Military & Overseas Voter Empowerment Act and new voting machines and board policies. The Public Information Officer also produced press releases and advisories throughout the year which provided information on these topics to the state and national press corps and the general public. This information was also made available via the Internet through the Board's website ([www.elections.ny.gov](http://www.elections.ny.gov)) along with a wide range of election-related data of interest to New York State voters all over the world.

## Freedom of Information Law

The Public Information Officer also serves as the Board's Records Access Officer. He is responsible for processing all FOIL requests (excluding petition copies) received by the agency. In 2013, 517 requests were received by the Records Access Officer. This number represents a 5% decrease from 2012. This decrease is attributed to 2013 being a non-federal and non-state election year. Most requests were for data and records from NYSBOE's statewide database of registered voters (NYSVoter). Of the requests received, 435 requests were granted, 44 were denied in accordance with the provisions of Section 87 of the Public Officers Law, and in 38 instances no records were found.

## Registration Hotlines

The Board's automated hotline (1-800-FOR-VOTE) and the Board's webpage online voter registration form ([www.elections.ny.gov](http://www.elections.ny.gov)) provide a dependable, efficient and convenient way in which citizens may request voter registration application forms. The hotline remains a positive component of the board's outreach program and the webpage continues to capture a larger share of the program as more of the population comes online.



## Legal Notices

Pursuant to Section 4-116 of the Election Law the State Board is required to publish, once in the week preceding any election at which proposed Constitutional Amendments or other propositions or questions are to be submitted to the voters of the state, an abstract prepared by the Attorney General explaining the amendment or question. The amendment, abstract and question are published in at least one general circulation newspaper in every county of the state and comply with the language requirements of the Voting Rights Act. There were six ballot propositions in 2013 which were translated into Spanish, Chinese, Korean and Bengali. The cost of publishing the amendments in advance of the 2013 General Election was \$323,696.93.

Website ([www.elections.ny.gov](http://www.elections.ny.gov))

Lastly, the Public Information Office works in close concert with the Information Technology Unit to operate and maintain the agency's website. With the rise of the Internet, our website has become an integral part of the Board's effort to provide information for the general public. The PIO e-mailbox received more than 3,900 e-mails during 2013. The homepage received 2,750,857 total visits during 2013. The voter search page received 781,563 visits for the year.



## AGENCY ADMINISTRATION

The Board's Administrative Office consists of two staff members. The duties of this unit include all personnel administration, purchasing, banking, mail and warehouse operations and all general agency administrative tasks relating to day to day operations. The agency has a Host Agency agreement with the Office of General Services for activities related to Budgeting, Contracts, Purchasing and Voucher Payments.

### Fiscal Operations

The State Board of Elections received fiscal year 2013-14 appropriations of \$5,200,000 in the General Fund, \$0 in Federal Funds and \$0 in Special Revenue Funds.

The State Board of Elections was granted the following re-appropriations for 2013-14:

- \$6,500,000 by the laws of 2011, for the implementation of federal election requirements including HAVA of 2002 and the MOVE Act of 2009.
- \$6,000,000 by the laws of 2010, in federal HAVA funds related to the implementation of the Military and Overseas Voter Empowerment (MOVE) Act of 2009.
- \$5,000,000 by the laws of 2009, for HAVA related expenditures.
- \$1,000,000 by the laws of 2005, for services and expenses (prior to April 1, 2005) related to the Help America Vote Act of 2002.
- \$1,400,000 by the laws of 2005, for services and expenses (on or after April 1, 2005) related to the Help America Vote Act of 2002.
- \$1,000,000 by the laws of 2009, for expenses related to satisfying the matching funds requirements of Section 253 (b) (5) of the Help America Vote Act of 2002.
- \$1,600,000 by the laws of 2009, for contractual services in the Voting Machine Examinations account.
- \$2,900,000 by the laws of 2006 amended in 2008, for the general fund local assistance services and expenses related to the alteration of poll sites to provide accessibility for disabled voters.

- \$1,000,000 by the laws of 2012, for services and expenses in the federal Health and Human Services account, including prior year liabilities, related to Poll Site Accessibility improvements.
- \$1,000,000 by the laws of 2011, for services and expenses in the federal Health and Human Services account, including prior year liabilities, related to Poll Site Accessibility improvements.
- \$1,000,000 by the laws of 2010, for services and expenses in the federal Health and Human Services account, including prior year liabilities, related to Poll Site Accessibility improvements.
- \$ 6,000,000 by the laws of 2009, for services and expenses related to the implementation of the Help America Vote Act of 2002, including the purchase of new voting machines and disability accessible ballot marking devices for use by the local boards of elections.
- \$1,500,000 by the laws of 2009 amended in 2011, for services and expenses related to the implementation of the Help America Vote Act of 2002, including the purchase of new voting machines and disability accessible ballot marking devices for use by the local boards of elections.
- \$9,300,000 by the laws of 2008 amended in 2011, for services and expenses related to the implementation of the Help America Vote Act of 2002, including the purchase of new voting machines and disability accessible ballot marking devices for use by the local boards of elections.
- \$5,000,000 by the laws of 2005, for services and expenses incurred for the poll worker training and voter education efforts.
- \$10,000,000 by the laws of 2005 amended in 2006, for services and expenses related to the purchase of new voting machines and voting systems.

## Personnel Administration

The agency was authorized a staffing level of 58 full time positions for the 2013/14 Fiscal Year.

As a result of the 2011-2015 negotiated agreements with NYS Bargaining Units, staff members represented by the Civil Service Employees Association, Inc. (CSEA) and the Public Employees Federation, AFL-CIO (PEF) were not paid an annual statewide salary increase. Management and Confidential (M/C) staff members statewide salary increase was also withheld.

## Revenue Calendar Year 2013

Judgments	\$60,048.83
Election Operations Photocopies	\$821.75

**Appendix**  
**NYSVoter Enrollment by County, Party Affiliation and Status**  
**Voters Registered as of November 01, 2013**

REGION	COUNTY	STATUS	DEM	REP	CON	WOR	IND	GRE	OTH	BLANK	TOTAL
Outside NYC	Albany	Active	89,444	36,441	2,890	617	9,349	473	189	39,180	178,583
Outside NYC	Albany	Inactive	9,609	3,175	260	108	1,148	86	45	4,649	19,080
Outside NYC	Albany	Total	99,053	39,616	3,150	725	10,497	559	234	43,829	197,663
Outside NYC	Allegany	Active	6,025	12,361	396	130	1,164	60	15	4,039	24,190
Outside NYC	Allegany	Inactive	547	743	29	16	132	11	4	534	2,016
Outside NYC	Allegany	Total	6,572	13,104	425	146	1,296	71	19	4,573	26,206
Outside NYC	Broome	Active	40,865	41,962	1,470	600	6,027	333	90	20,707	112,054
Outside NYC	Broome	Inactive	4,939	2,976	117	99	779	64	13	3,268	12,255
Outside NYC	Broome	Total	45,804	44,938	1,587	699	6,806	397	103	23,975	124,309
Outside NYC	Cattaraugus	Active	15,145	17,414	1,022	279	2,245	94	22	8,738	44,959
Outside NYC	Cattaraugus	Inactive	1,254	1,186	68	46	256	13	6	1,101	3,930
Outside NYC	Cattaraugus	Total	16,399	18,600	1,090	325	2,501	107	28	9,839	48,889
Outside NYC	Cayuga	Active	15,227	16,684	1,205	215	2,404	150	9	9,118	45,012
Outside NYC	Cayuga	Inactive	2,076	2,018	121	59	462	24	4	1,769	6,533
Outside NYC	Cayuga	Total	17,303	18,702	1,326	274	2,866	174	13	10,887	51,545
Outside NYC	Chautauqua	Active	26,707	25,596	1,935	434	4,724	143	55	17,849	77,443
Outside NYC	Chautauqua	Inactive	2,625	1,974	157	62	638	25	10	2,246	7,737
Outside NYC	Chautauqua	Total	29,332	27,570	2,092	496	5,362	168	65	20,095	85,180
Outside NYC	Chemung	Active	15,987	20,391	727	249	3,050	99	20	9,721	50,244
Outside NYC	Chemung	Inactive	1,468	1,441	51	34	294	13	2	1,101	4,404
Outside NYC	Chemung	Total	17,455	21,832	778	283	3,344	112	22	10,822	54,648
Outside NYC	Chenango	Active	7,422	12,451	450	188	1,641	117	16	6,038	28,323
Outside NYC	Chenango	Inactive	653	789	28	27	189	11	4	696	2,397
Outside NYC	Chenango	Total	8,075	13,240	478	215	1,830	128	20	6,734	30,720
Outside NYC	Clinton	Active	16,532	14,470	461	233	3,165	97	0	10,473	45,431
Outside NYC	Clinton	Inactive	1,665	1,213	42	33	424	23	0	1,424	4,824
Outside NYC	Clinton	Total	18,197	15,683	503	266	3,589	120	0	11,897	50,255
Outside NYC	Columbia	Active	12,945	12,101	1,101	213	2,793	193	19	10,869	40,234
Outside NYC	Columbia	Inactive	1,132	658	81	30	293	25	4	945	3,168
Outside NYC	Columbia	Total	14,077	12,759	1,182	243	3,086	218	23	11,814	43,402
Outside NYC	Cortland	Active	8,629	10,010	448	139	1,558	89	3	6,569	27,445
Outside NYC	Cortland	Inactive	1,027	745	32	25	238	20	0	1,110	3,197
Outside NYC	Cortland	Total	9,656	10,755	480	164	1,796	109	3	7,679	30,642
Outside NYC	Delaware	Active	7,291	11,822	445	112	1,582	96	10	5,066	26,424
Outside NYC	Delaware	Inactive	693	743	29	16	148	12	2	580	2,223
Outside NYC	Delaware	Total	7,984	12,565	474	128	1,730	108	12	5,646	28,647
Outside NYC	Dutchess	Active	56,943	51,861	3,431	738	9,914	408	64	46,054	169,413

Outside NYC	Dutchess	Inactive	6,088	3,641	204	104	977	63	10	4,458	15,545
Outside NYC	Dutchess	Total	63,031	55,502	3,635	842	10,891	471	74	50,512	184,958
Outside NYC	Erie	Active	287,867	152,243	12,701	2,960	27,729	1,398	336	97,223	582,457
Outside NYC	Erie	Inactive	14,006	5,461	366	215	1,267	90	29	6,017	27,451
Outside NYC	Erie	Total	301,873	157,704	13,067	3,175	28,996	1,488	365	103,240	609,908
Outside NYC	Essex	Active	6,507	11,744	208	60	1,845	87	15	4,544	25,010
Outside NYC	Essex	Inactive	441	609	9	15	145	8	3	409	1,639
Outside NYC	Essex	Total	6,948	12,353	217	75	1,990	95	18	4,953	26,649
Outside NYC	Franklin	Active	9,577	8,827	333	101	1,595	60	6	4,642	25,141
Outside NYC	Franklin	Inactive	754	622	20	23	182	9	1	599	2,210
Outside NYC	Franklin	Total	10,331	9,449	353	124	1,777	69	7	5,241	27,351
Outside NYC	Fulton	Active	7,942	15,835	485	153	1,616	62	0	5,477	31,570
Outside NYC	Fulton	Inactive	408	610	30	15	121	3	0	405	1,592
Outside NYC	Fulton	Total	8,350	16,445	515	168	1,737	65	0	5,882	33,162
Outside NYC	Genesee	Active	9,171	15,469	870	196	1,805	79	42	7,595	35,227
Outside NYC	Genesee	Inactive	545	669	34	18	134	8	2	515	1,925
Outside NYC	Genesee	Total	9,716	16,138	904	214	1,939	87	44	8,110	37,152
Outside NYC	Greene	Active	6,818	11,801	809	122	1,782	61	0	7,272	28,665
Outside NYC	Greene	Inactive	784	829	56	20	221	17	1	804	2,732
Outside NYC	Greene	Total	7,602	12,630	865	142	2,003	78	1	8,076	31,397
Outside NYC	Hamilton	Active	916	2,599	57	7	235	6	1	535	4,356
Outside NYC	Hamilton	Inactive	131	276	5	1	30	0	2	80	525
Outside NYC	Hamilton	Total	1,047	2,875	62	8	265	6	3	615	4,881
Outside NYC	Herkimer	Active	10,381	18,123	612	138	2,312	80	2	6,242	37,890
Outside NYC	Herkimer	Inactive	763	947	30	15	196	7	0	577	2,535
Outside NYC	Herkimer	Total	11,144	19,070	642	153	2,508	87	2	6,819	40,425
Outside NYC	Jefferson	Active	16,207	23,858	795	219	3,187	110	22	11,776	56,174
Outside NYC	Jefferson	Inactive	2,089	2,180	74	36	416	13	5	2,002	6,815
Outside NYC	Jefferson	Total	18,296	26,038	869	255	3,603	123	27	13,778	62,989
Outside NYC	Lewis	Active	4,346	8,663	256	53	801	28	7	2,567	16,721
Outside NYC	Lewis	Inactive	204	297	9	3	38	0	1	200	752
Outside NYC	Lewis	Total	4,550	8,960	265	56	839	28	8	2,767	17,473
Outside NYC	Livingston	Active	9,845	16,367	795	147	1,807	113	30	7,949	37,053
Outside NYC	Livingston	Inactive	810	804	45	14	164	10	6	747	2,600
Outside NYC	Livingston	Total	10,655	17,171	840	161	1,971	123	36	8,696	39,653
Outside NYC	Madison	Active	11,058	15,854	826	220	2,605	124	5	9,083	39,775
Outside NYC	Madison	Inactive	1,011	1,032	51	22	308	19	3	833	3,279
Outside NYC	Madison	Total	12,069	16,886	877	242	2,913	143	8	9,916	43,054

Outside NYC	Monroe	Active	173,753	129,914	7,890	1,472	20,088	1,089	403	103,209	437,818
Outside NYC	Monroe	Inactive	12,316	5,804	336	156	1,306	95	34	6,894	26,941
Outside NYC	Monroe	Total	186,069	135,718	8,226	1,628	21,394	1,184	437	110,103	464,759
Outside NYC	Montgomery	Active	9,511	9,691	607	124	1,509	55	13	5,797	27,307
Outside NYC	Montgomery	Inactive	861	757	57	22	150	7	1	721	2,576
Outside NYC	Montgomery	Total	10,372	10,448	664	146	1,659	62	14	6,518	29,883
Outside NYC	Nassau	Active	361,375	324,117	10,141	2,205	33,665	1,254	211	212,003	944,971
Outside NYC	Nassau	Inactive	8,616	6,325	194	54	849	43	5	4,352	20,438
Outside NYC	Nassau	Total	369,991	330,442	10,335	2,259	34,514	1,297	216	216,355	965,409
Outside NYC	Niagara	Active	53,746	42,940	2,835	1,011	6,125	271	16	21,755	128,699
Outside NYC	Niagara	Inactive	4,039	2,578	162	124	590	25	2	2,150	9,670
Outside NYC	Niagara	Total	57,785	45,518	2,997	1,135	6,715	296	18	23,905	138,369
Outside NYC	Oneida	Active	45,982	50,184	1,999	519	7,622	233	0	24,816	131,355
Outside NYC	Oneida	Inactive	1,486	1,103	49	25	267	11	0	853	3,794
Outside NYC	Oneida	Total	47,468	51,287	2,048	544	7,889	244	0	25,669	135,149
Outside NYC	Onondaga	Active	103,900	85,105	4,721	1,321	14,397	827	201	70,676	281,148
Outside NYC	Onondaga	Inactive	7,785	4,464	270	148	1,104	88	21	5,453	19,333
Outside NYC	Onondaga	Total	111,685	89,569	4,991	1,469	15,501	915	222	76,129	300,481
Outside NYC	Ontario	Active	19,434	26,210	1,290	200	3,801	184	8	15,418	66,545
Outside NYC	Ontario	Inactive	1,215	1,224	65	23	289	14	0	1,046	3,876
Outside NYC	Ontario	Total	20,649	27,434	1,355	223	4,090	198	8	16,464	70,421
Outside NYC	Orange	Active	75,816	69,419	3,961	992	11,059	453	79	46,727	208,506
Outside NYC	Orange	Inactive	4,711	3,266	157	57	675	44	5	2,970	11,885
Outside NYC	Orange	Total	80,527	72,685	4,118	1,049	11,734	497	84	49,697	220,391
Outside NYC	Orleans	Active	5,573	10,396	518	160	1,057	46	23	4,729	22,502
Outside NYC	Orleans	Inactive	423	573	39	16	110	2	2	516	1,681
Outside NYC	Orleans	Total	5,996	10,969	557	176	1,167	48	25	5,245	24,183
Outside NYC	Oswego	Active	17,599	31,952	1,568	364	3,749	116	39	13,972	69,359
Outside NYC	Oswego	Inactive	1,569	1,792	99	51	343	8	0	1,520	5,382
Outside NYC	Oswego	Total	19,168	33,744	1,667	415	4,092	124	39	15,492	74,741
Outside NYC	Otsego	Active	10,310	12,965	501	138	2,041	122	20	6,875	32,972
Outside NYC	Otsego	Inactive	945	821	35	11	247	8	2	841	2,910
Outside NYC	Otsego	Total	11,255	13,786	536	149	2,288	130	22	7,716	35,882
Outside NYC	Putnam	Active	17,619	21,537	2,000	199	3,552	118	37	15,121	60,183
Outside NYC	Putnam	Inactive	1,003	1,163	88	16	220	19	2	891	3,402
Outside NYC	Putnam	Total	18,622	22,700	2,088	215	3,772	137	39	16,012	63,585
Outside NYC	Rensselaer	Active	27,460	24,051	4,395	1,138	7,196	273	41	28,391	92,945
Outside NYC	Rensselaer	Inactive	2,909	1,749	273	154	702	35	12	2,549	8,383

Outside NYC	Rensselaer	Total	30,369	25,800	4,668	1,292	7,898	308	53	30,940	101,328
Outside NYC	Rockland	Active	83,257	42,439	3,724	857	7,717	268	6	40,545	178,813
Outside NYC	Rockland	Inactive	4,409	2,017	138	40	442	32	0	2,395	9,473
Outside NYC	Rockland	Total	87,666	44,456	3,862	897	8,159	300	6	42,940	188,286
Outside NYC	Saratoga	Active	38,212	61,684	2,236	417	9,038	327	71	35,141	147,126
Outside NYC	Saratoga	Inactive	4,187	5,157	218	81	948	67	13	4,233	14,904
Outside NYC	Saratoga	Total	42,399	66,841	2,454	498	9,986	394	84	39,374	162,030
Outside NYC	Schenectady	Active	35,123	24,558	3,139	611	5,373	208	42	21,688	90,742
Outside NYC	Schenectady	Inactive	2,856	1,486	181	83	456	24	4	1,901	6,991
Outside NYC	Schenectady	Total	37,979	26,044	3,320	694	5,829	232	46	23,589	97,733
Outside NYC	Schoharie	Active	4,776	7,214	477	79	1,225	64	14	4,136	17,985
Outside NYC	Schoharie	Inactive	735	867	43	28	231	18	3	696	2,621
Outside NYC	Schoharie	Total	5,511	8,081	520	107	1,456	82	17	4,832	20,606
Outside NYC	Schuyler	Active	3,318	4,700	228	82	725	64	2	2,429	11,548
Outside NYC	Schuyler	Inactive	290	304	13	10	72	5	1	252	947
Outside NYC	Schuyler	Total	3,608	5,004	241	92	797	69	3	2,681	12,495
Outside NYC	Seneca	Active	6,132	7,625	408	113	945	56	1	3,844	19,124
Outside NYC	Seneca	Inactive	529	531	23	22	117	4	2	468	1,696
Outside NYC	Seneca	Total	6,661	8,156	431	135	1,062	60	3	4,312	20,820
Outside NYC	St.Lawrence	Active	21,764	20,681	853	283	3,240	141	0	11,667	58,629
Outside NYC	St.Lawrence	Inactive	1,316	992	38	23	246	15	0	1,066	3,696
Outside NYC	St.Lawrence	Total	23,080	21,673	891	306	3,486	156	0	12,733	62,325
Outside NYC	Steuben	Active	14,322	28,514	862	249	2,947	137	8	9,234	56,273
Outside NYC	Steuben	Inactive	1,330	1,811	57	58	311	30	0	1,188	4,785
Outside NYC	Steuben	Total	15,652	30,325	919	307	3,258	167	8	10,422	61,058
Outside NYC	Suffolk	Active	300,016	299,575	21,431	4,181	41,643	1,622	429	241,694	910,591
Outside NYC	Suffolk	Inactive	17,766	13,379	809	280	2,385	124	31	12,800	47,574
Outside NYC	Suffolk	Total	317,782	312,954	22,240	4,461	44,028	1,746	460	254,494	958,165
Outside NYC	Sullivan	Active	17,945	12,737	1,036	227	2,332	140	0	11,045	45,462
Outside NYC	Sullivan	Inactive	1,928	1,060	75	24	253	17	0	1,218	4,575
Outside NYC	Sullivan	Total	19,873	13,797	1,111	251	2,585	157	0	12,263	50,037
Outside NYC	Tioga	Active	8,269	13,911	428	136	1,715	95	36	6,084	30,674
Outside NYC	Tioga	Inactive	548	714	18	15	140	12	3	496	1,946
Outside NYC	Tioga	Total	8,817	14,625	446	151	1,855	107	39	6,580	32,620
Outside NYC	Tompkins	Active	24,220	12,503	374	245	2,339	495	27	11,564	51,767
Outside NYC	Tompkins	Inactive	3,173	1,146	29	31	337	70	9	1,979	6,774
Outside NYC	Tompkins	Total	27,393	13,649	403	276	2,676	565	36	13,543	58,541
Outside NYC	Ulster	Active	38,094	28,342	2,569	596	5,675	655	79	34,167	110,177



Outside NYC	Ulster	Inactive	3,233	1,836	158	69	510	58	11	2,786	8,661
Outside NYC	Ulster	Total	41,327	30,178	2,727	665	6,185	713	90	36,953	118,838
Outside NYC	Warren	Active	10,158	19,366	580	139	2,488	123	4	8,072	40,930
Outside NYC	Warren	Inactive	1,141	1,384	46	23	321	28	0	1,082	4,025
Outside NYC	Warren	Total	11,299	20,750	626	162	2,809	151	4	9,154	44,955
Outside NYC	Washington	Active	8,617	15,029	613	197	2,238	102	0	7,234	34,030
Outside NYC	Washington	Inactive	678	814	37	30	257	10	0	722	2,548
Outside NYC	Washington	Total	9,295	15,843	650	227	2,495	112	0	7,956	36,578
Outside NYC	Wayne	Active	13,574	22,338	1,454	234	2,831	121	40	13,299	53,891
Outside NYC	Wayne	Inactive	765	806	63	25	204	11	1	823	2,698
Outside NYC	Wayne	Total	14,339	23,144	1,517	259	3,035	132	41	14,122	56,589
Outside NYC	Westchester	Active	260,535	131,878	8,532	1,411	23,931	699	121	124,841	551,948
Outside NYC	Westchester	Inactive	17,372	8,223	461	144	1,641	61	7	9,393	37,302
Outside NYC	Westchester	Total	277,907	140,101	8,993	1,555	25,572	760	128	134,234	589,250
Outside NYC	Wyoming	Active	5,781	11,004	496	103	1,181	31	0	4,911	23,507
Outside NYC	Wyoming	Inactive	416	538	36	15	109	5	0	450	1,569
Outside NYC	Wyoming	Total	6,197	11,542	532	118	1,290	36	0	5,361	25,076
Outside NYC	Yates	Active	3,348	6,589	233	64	703	40	6	2,568	13,551
Outside NYC	Yates	Inactive	234	293	14	11	56	4	2	214	828
Outside NYC	Yates	Total	3,582	6,882	247	75	759	44	8	2,782	14,379
Outside NYC Tot		Active	2,519,336	2,194,115	126,827	28,590	331,082	14,989	2,955	1,522,978	6,740,872
Outside NYC Tot		Inactive	166,506	110,615	6,229	2,925	25,088	1,568	330	111,987	425,248
Outside NYC Tot		Total	2,685,842	2,304,730	133,056	31,515	356,170	16,557	3,285	1,634,965	7,166,120
Within NYC	Bronx	Active	501,360	40,088	3,023	2,677	13,303	393	95	89,039	649,978
Within NYC	Bronx	Inactive	45,882	4,394	307	321	1,427	42	10	9,912	62,295
Within NYC	Bronx	Total	547,242	44,482	3,330	2,998	14,730	435	105	98,951	712,273
Within NYC	Kings	Active	942,648	117,780	4,745	5,399	29,233	2,405	381	225,472	1,328,063
Within NYC	Kings	Inactive	61,387	7,497	294	464	2,438	274	33	17,317	89,704
Within NYC	Kings	Total	1,004,035	125,277	5,039	5,863	31,671	2,679	414	242,789	1,417,767
Within NYC	New York	Active	665,345	95,902	1,847	2,026	30,136	1,786	472	173,884	971,398
Within NYC	New York	Inactive	65,297	13,191	186	211	4,103	204	69	22,927	106,188
Within NYC	New York	Total	730,642	109,093	2,033	2,237	34,239	1,990	541	196,811	1,077,586
Within NYC	Queens	Active	691,759	124,074	5,681	3,247	26,910	1,242	230	207,284	1,060,427
Within NYC	Queens	Inactive	39,310	7,536	327	236	1,829	94	17	12,945	62,294
Within NYC	Queens	Total	731,069	131,610	6,008	3,483	28,739	1,336	247	220,229	1,122,721
Within NYC	Richmond	Active	121,096	77,099	4,256	1,033	9,090	271	88	53,014	265,947
Within NYC	Richmond	Inactive	6,385	3,494	202	67	513	14	3	2,933	13,611
Within NYC	Richmond	Total	127,481	80,593	4,458	1,100	9,603	285	91	55,947	279,558

Within NYC Total	Active	2,922,208	454,943	19,552	14,382	108,672	6,097	1,266	748,693	4,275,813
Within NYC Total	Inactive	218,261	36,112	1,316	1,299	10,310	628	132	66,034	334,092
Within NYC Total	Total	3,140,469	491,055	20,868	15,681	118,982	6,725	1,398	814,727	4,609,905
Statewide Total	Active	5,441,544	2,649,058	146,379	42,972	439,754	21,086	4,221	2,271,671	11,016,685
Statewide Total	Inactive	384,767	146,727	7,545	4,224	35,398	2,196	462	178,021	759,340
Statewide Total	Total	5,826,311	2,795,785	153,924	47,196	475,152	23,282	4,683	2,449,692	11,776,025